

APRE

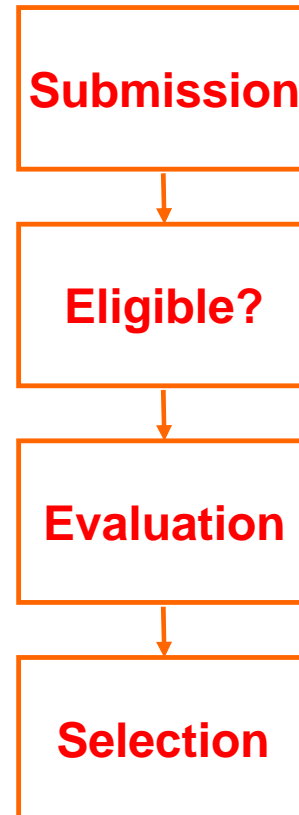
Agency for the Promotion of
European Research

**FP7 – Evaluation and Submission
procedures**

Nicola Bergonzi



1. Submission of proposal
2. Eligibility checks
3. Evaluation (experts)
4. Selection



- ➔ Fixed deadline calls
- ➔ Electronic proposal submission system (EPSS) only
- ➔ Proposal template given in the 'Guide for Applicants'
 - ➔ Closely aligned to the evaluation criteria
- ➔ Proposals are normally submitted and evaluated in a single stage
- ➔ Two-stage submission of proposals
 - ➔ May be used for large, 'bottom up' calls
 - ➔ First stage
 - short proposal (about 10-20 pages), dealing with main scientific concepts and ideas
 - use of limited set of criteria
 - successful proposers are then invited to submit complete proposals

EPSS

[Electronic Proposal Submission System]



Online preparation only!

- ➔ Improved validation checks before submission is accepted
- ➔ FP6 Failure rate = $\pm 1\%$
- ➔ Main reason for failure - waiting till the last minute
- ➔ Submit early, submit often!

- **Date and time of receipt of proposal** on or before deadline
 - Firm deadlines - except for Continuously Open Calls
- **Minimum number of eligible, independent partners**
 - As set out in work programme/call
- **Completeness of proposal**
 - Presence of all requested administrative forms (Part A) and the content description (Part B)
- “Out of **scope**”
- Others (eg. budget limits)



- No major change for FP7
- But improved and streamlined, based on experience
- Adapted to the new features of FP7 where necessary
- **What's new?**
 - *Clearer page limits*
 - *Elegibility criteria (includes “scope”)*
 - *Evaluation criteria (3 instead of 5 or 6)*
 - *More clarity on conflicts of interest (Independent experts)*

Evaluation – The criteria

- ➔ Adapted to each funding scheme and each thematic area
- ➔ Specified **in the specific programme/work programme** (Annex 2)
- ➔ Divided into **three main criteria**:
 - ✓ **S&T Quality** (relevant to the topics addressed by the call)
 - *quality of the objectives*
 - *progress beyond the state of the art*
 - *work plan*
 - ✓ **Implementation**
 - *individual participants and consortium as a whole*
 - *allocation of resources (budget, staff, equipment)*
 - ✓ **Impact**
 - *Contribution to expected impacts listed in work programme*
 - *Plans for dissemination/exploitation*
- ➔ **Criteria generally marked out of 5**
- ➔ **Criterion threshold 3/5**
- ➔ **Overall threshold 10/15**

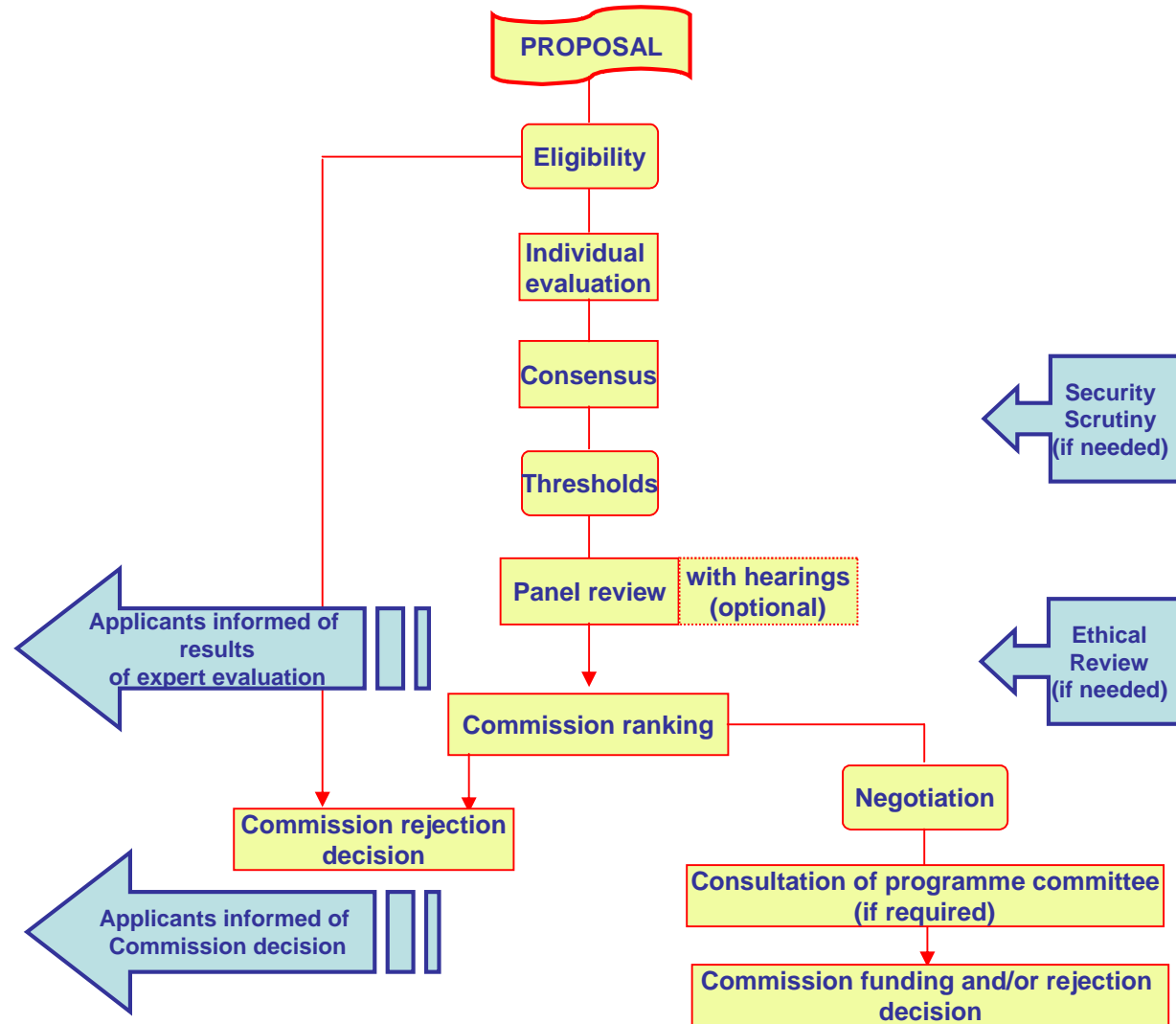
Evaluation - The experts (1)

- ➔ **The Commission draws on a wide pool of evaluators**
 - about 50.000 in FP6
- ➔ **Call for candidates published on December 2006**
 - Call for applications are addressed to individuals/organisations
 - Applications via CORDIS
- ➔ **FP6 experts have been invited to transfer to FP7** (with a request to update their information)
- ➔ **Commission invites individuals on a call-by-call basis**
 - Not self-selection!
- ➔ **Expertise, and experience are paramount**
 - Geography, gender and “rotation” is also considered

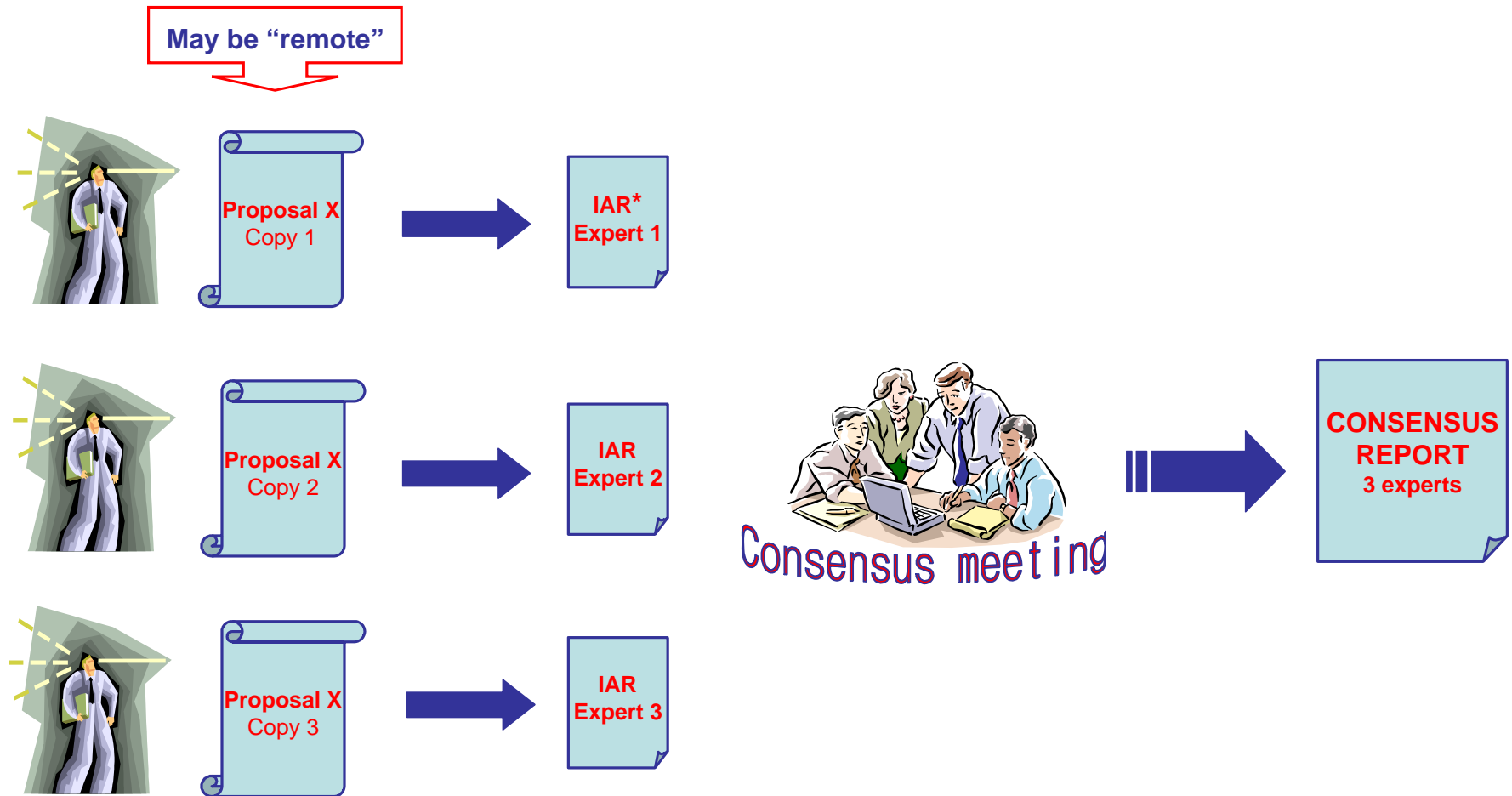
Evaluation - The experts (2)

- ➔ Experts agree to terms and conditions of an **“appointment letter”**
- ➔ Typically an individual will review 6-8 proposals “remotely” ...
- ➔ ...then spend a couple of days in Brussels
- ➔ Some will participate in “hearings” with the consortia
- ➔ Experts **sign confidentiality and conflict of interest declaration**
- ➔ Names published after the evaluations

Selection procedure



1. From Individual assessment to Consensus



*IAR= Individual assessment report

2. Consensus

- ➔ **Built on the basis of the individual assessments** of all the evaluators
 - ➔ Usually involves a discussion
- ➔ Moderated by a Commission representative
 - ➔ One expert acts as a *rapporteur*
- ➔ **Agreement on consensus marks and comments** for each of the criteria

3. Panel review

→ Panel Meeting

- *Compare consensus reports*
- *Examines proposals with same consensus score (if needed)*
- *Final marks and comments for each proposal*
- *Suggestions on order of priority, clustering, amendments, etc.*

→ Hearings with proposers may be convened

- *Questions to the invited proposal coordinators*
- *Small number of proposal representatives*

4. Commission Follow-up

- ➔ Evaluation summary reports (ESR) sent to applicants (“Initial information letter”)
- ➔ Draw up final ranking lists
- ➔ Information to the Programme Committee
- ➔ Commission decisions on rejected proposals
- ➔ Contract negotiation
- ➔ Formal consultation of Programme Committee (when required)
- ➔ Commission decisions on proposals selected for funding
- ➔ Survey of evaluators



Thank you for your attention!

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